

FORM A: CLIENT PROFILE AND ASSESMENT FORM

Date:

(For Individuals)

Ref. No.

In accordance with best practice policies developed by Sanctuary Capital Pte Ltd ("SC"), all our valued clients are required to fill in this Client Profile and Assessment Form.

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| Account Manager Name: |
|-----------------------|

A. PERSONAL PARTICULARS:

Please fill up the below table:

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|-------------------------|--|
| Salutation: | |
| Full Name: | |
| NRIC No.: | |
| Passport No.: | |
| Registered Address: | |
| Correspondence Address: | |
| Date of Birth: | |
| Nationality: | |
| Mobile Number: | |
| Email Address: | |

B. FINANCIAL INFORMATION & SUITABILITY ASSESSMENT:

Please circle or fill in as appropriate:

| | | | |
|---|---------------------|--------|---------------------|
| Occupation: | | | |
| Highest Education Background: | Non-Degree | Degree | Post-Degree |
| Gross Annual Income: | Below S\$300,000.00 | | Above S\$300,000.00 |
| Value of financial assets (net of any related liabilities) including deposits and investments | Below S\$1 Million | | Above S\$1 Million |

| | | | | |
|---|---|---------------------|----------------------------------|--|
| Net Worth (including fair value of primary residence, less any unpaid debt or liabilities, limited to an amount of up to S\$1 Million): | Below S\$2 Million | | Above S\$2 Million | |
| Professional Qualifications related to Finance or Investments: | YES | | NO | |
| Experience level in Finance or Investments: | LOW | MEDIUM | HIGH | |
| Source of Funds being used to Invest: | SAVINGS | BUSINESS | OTHERS Please State: | |
| Investment Returns Preference: | Capital Preservation | Stable returns | Highly variable returns | |
| Investment Objective: | Capital Appreciation/ Accumulation of Wealth | Retirement planning | Education Fund for Children etc. | |
| Any speculative or "high-risk" Investments made in past 5 years: | YES | | NO | |
| I am prepared to hold onto investments for long-term such as 10 years or more without cashing out: | YES | | NO | |
| Preferred Investment Horizon: | Less than 2 years | 2 to 10 years | More than 10 years | |
| I understand and accept that all investments involve risk of loss of some or all capital: | YES | | NO | |

C. INVESTOR RISK PROFILE

Which of the following best describes your risk profile and tolerance?

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|----------------------------|---|
| Conservative () | I hope to achieve returns of up to 2% above bank deposit rates with lower than average risk and fluctuation in value. I only prefer long term and secure income streams. |
| Balanced () | I hope to achieve returns of 3 to 20% above bank deposit rates and am willing to accept occasional periods of high volatility. I fully accept that investment returns will vary substantially from year to year and that there is a high chance to incur substantial losses. I may also engage occasionally in speculative trading from time to time. |

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| Aggressive () | I hope to achieve returns of more than 20% above bank deposit rates over the short term (under 12 months) with a tolerance for significantly higher degree of volatility and risk of loss. I accept a high chance of capital loss or that I can incur losses more than the capital investment in individual transactions and am willing to accept substantial risks. |
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D. EXPRESSION OF INTEREST

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| I would like to receive further relevant information pertaining to any offers of securities under the small offer exemption of the Securities and Futures Act which may be of potential interest to me. | NO | YES |
|---|----|-----|

I have read, understood and hereby acknowledge, represent and warrant the following:

- I consent to the use of my personal data to be notified of any market research and marketing material from time to time which may suit my above stated preferences. For avoidance of doubt, I have understood that SC shall not participate in the provision of any advisory services relating to finance, legal, tax, accountancy or any other professional advice.
- I will NOT make any investments unless I fully understand the risks, have consulted with the relevant independent professional financial advisors, and am prepared to take the risks that come with the investments.
- Prior to making any investments, I will seek independent professional financial advice and carefully consider whether any investment is suitable for me in light of my knowledge and experience in financial and business matters, investment objectives, financial means and the risks that I am prepared to take.
- In selecting any investment products, I fully understand that I may be taking more risks in exchange for possibly higher returns.
- I warrant and represent that the source of my funds is not and will not be of criminal or any other illicit origin, and I am not, and will not be in breach of any anti-tax avoidance, anti-money laundering and counter terrorism financing rules in any relevant jurisdiction.
- I hereby authorize SC to use any personal data provided by me in this KYC Form for marketing, research or commercial purposes, as the case may be and subject to applicable law, and I will contact the personal data officer of SC (Investor.relations@sanctuary-capital.com) if I have any queries.

By affixing my signature below, I hereby declare that I have read, understood the contents of, and personally filled in this Form, and that the answers I have given are truthful, complete and accurate in all respects. I agree to be bound by any terms in this Form and I will inform SC promptly should there be any material changes to the information that I have submitted herein.

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| Signed by Client | Witnessed by Authorized Person Representing Sanctuary Capital Pte Ltd |
| Date | Date |